

Electronic Acknowledgement Receipt

EFS ID:	4514728		
Application Number:	10627626		
International Application Number:			
Confirmation Number:	4309		
Title of Invention:	Method and apparatus for enabling individual or smaller investors or others to create and manage a portfolio of securities or other assets or liabilities on a cost effective basis		
First Named Inventor/Applicant Name:	Steven M.H. Wallman		
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Filer:	Shawn W. Odowd/Charisma Ward		
Filer Authorized By:	Shawn W. Odowd		
Attorney Docket Number:	10392/460043		
Receipt Date:	23-DEC-2008		
Filing Date:	28-JUL-2003		
Time Stamp:	16:25:25		
Application Type:	Utility under 35 USC 111(a)		

Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$180

RAM confirmation Number	1729
Deposit Account	110600
Authorized User	

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part/.zip	Pages (if appl.)
1	Information Disclosure Statement Letter	SupplementalIDS.pdf	88388 0f5af13829bf80884fd04980e4b4>7fcbe 4780	no	3

Warnings:

Information:

2	Information Disclosure Statement (IDS) Filed (SB/08)	1449.pdf	115131 be577432f9378023695d32d2cb64>6614c 0x00	no	4
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Warnings:

Information:

This is not an USPTO supplied IDS fillable form

3	Fee Worksheet (PTO-06)	fee-info.pdf	30186 36e670e0b35aaef8f2660b63baade65a0105e 54065	no	2
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Warnings:

Information:

Total Files Size (in bytes):	233705
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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.